

# Midterm test study sheet for CS6902

## Turing machines and decidability.

- A Turing machine is a finite automaton with an infinite memory (tape). Formally, a Turing machine is a 6-tuple  $M = (Q, \Sigma, \Gamma, \delta, q_0, q_{accept}, q_{reject})$ . Here,  $Q$  is a finite set of states as before, with three special states  $q_0$  (start state),  $q_{accept}$  and  $q_{reject}$ . The last two are called the halting states, and they cannot be equal.  $\Sigma$  is a finite input alphabet.  $\Gamma$  is a tape alphabet which includes all symbols from  $\Sigma$  and a special symbol for blank,  $\sqcup$ . Finally, the transition function is  $\delta : Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R\}$  where  $L, R$  mean move left or right one step on the tape. Also know encoding languages and Turing machines as binary strings.
- Equivalent (not necessarily efficiently) variants of Turing machines: two-way vs. one-way infinite tape, multi-tape, non-deterministic, oblivious.
- PAL is decidable in linear time on a two-tape machine, but in quadratic time on one-tape.
- *Church-Turing Thesis* Anything computable by an algorithm of any kind (our intuitive notion of algorithm) is computable by a Turing machine.
- A Turing machine  $M$  *accepts* a string  $w$  if there is an accepting computation of  $M$  on  $w$ , that is, there is a sequence of configurations (state, non-blank memory, head position) starting from  $q_0w$  and ending in a configuration containing  $q_{accept}$ , with every configuration in the sequence resulting from a previous one by a transition in  $\delta$  of  $M$ . A Turing machine  $M$  *recognizes* a language  $L$  if it accepts all and only strings in  $L$ : that is,  $\forall x \in \Sigma^*$ ,  $M$  accepts  $x$  iff  $x \in L$ . As before, we write  $\mathcal{L}(M)$  for the language accepted by  $M$ .
- A language  $L$  is called *Turing-recognizable* (also *recursively enumerable*, *r.e.*, or *semi-decidable*) if  $\exists$  a Turing machine  $M$  such that  $\mathcal{L}(M) = L$ . A language  $L$  is called *decidable* (or *recursive*) if  $\exists$  a Turing machine  $M$  such that  $\mathcal{L}(M) = L$ , and additionally,  $M$  halts on all inputs  $x \in \Sigma^*$ . That is, on every string  $M$  either enters the state  $q_{accept}$  or  $q_{reject}$  in some point in computation. A language is called *co-semi-decidable* if its complement is semi-decidable. Semi-decidable languages can be described using unbounded  $\exists$  quantifier over a decidable relation; co-semi-decidable using unbounded  $\forall$  quantifier. There are languages that are higher in the arithmetic hierarchy than semi- and co-semi-decidable; they are described using mixture of  $\exists$  and  $\forall$  quantifiers and then number of alternation of quantifiers is the level in the hierarchy. An example of such decidable relation can be  $Check_A(M, w, y)$ , which verifies that  $y$  is a transcript of an accepting computation of  $M$  on  $w$ .  $Check_R$  and  $Check_H$  can be defined similarly for rejecting and halting computations.
- Decidable languages are closed under intersection, union, complementation, Kleene star, etc. Semi-decidable languages are not closed under complementation, but closed under intersection and union. If a language is both semi-decidable and co-semi-decidable, then it is decidable.
- Universal language  $A_{TM} = \{\langle M, w \rangle \mid w \in \mathcal{L}(M)\}$ . Undecidability; proof by diagonalization and getting the paradox.  $A_{TM}$  is undecidable.
- A *many-one* reduction:  $A \leq_m B$  if exists a computable function  $f$  such that  $\forall x \in \Sigma_A^*$ ,  $x \in A \iff f(x) \in B$ . To prove that  $B$  is undecidable, (not semi-decidable, not co-semi-decidable) pick  $A$  which is undecidable (not semi, not co-semi.) and reduce  $A$  to  $B$ . To prove that a language is in the class (e.g., semi-decidable), give an algorithm.
- Know how to do reductions and place languages in the corresponding classes, similar to the assignment (both easiness and hardness directions, where applicable).
- Examples of undecidable languages:  $A_{TM}$ ,  $Halt_B$ ,  $NE$ ,  $Total$ ,  $All$ , Know which are semi-decidable, which co-semi-decidable and which neither.

## Complexity theory, NP-completeness

- A Turing machine  $M$  runs in time  $t(n)$  if for any input of length  $n$  the number of steps of  $M$  is at most  $t(n)$  (worst-case running time).
- Time complexity classes  $Time(f(n))$  are sets of languages decidable in worst-case time  $f(n)$ . Similarly for  $Space(f(n))$  and non-deterministic time  $NTime(f(n))$ . For non-deterministic time, the bound  $f(n)$  must hold for all branches of the computation.
- A language  $L$  is in the complexity class  $P$  (stands for *Polynomial time*) if there exists a Turing machine  $M$ ,  $\mathcal{L}(M) = L$  and  $M$  runs in time  $O(n^c)$  for some fixed constant  $c$ . The class  $P = \bigcup_{k \geq 0} Time(n^k)$  is believed to capture the notion of efficient algorithms.
- A language  $L$  is in the class  $NP$  if there exists a *polynomial-time verifier*, that is, a relation  $R(x, y)$  computable in polynomial time such that  $\forall x, x \in L \iff \exists y, |y| \leq c|x|^d \wedge R(x, y)$ . Here,  $c$  and  $d$  are fixed constants, specific for the language.
- A different, equivalent, definition of  $NP$  is a class of languages accepted by polynomial-time *non-deterministic* Turing machines. The name  $NP$  stands for “Non-deterministic Polynomial-time”.
- $Time(f(n)) \subseteq NTime(f(n)) \subseteq Space(f(n)) \subseteq Time(2^{O(f(n))})$ . In particular,  $P \subseteq NP \subseteq EXP$ , where  $EXP$  is the class of languages computable in time exponential in the length of the input. All of them are decidable. Alternating quantifiers, get polynomial-time hierarchy  $PH$ :  $P \subseteq NP \cap coNP \subseteq NP \cup coNP \subseteq PH \subseteq PSPACE \subseteq EXP \subseteq NEXP$ .
- Time hierarchy theorem:  $Time(f(n)) \subsetneq Time(f(n)/\log n)$ . Space hierarchy theorem:  $Space(f(n)) \subsetneq Space(o(f(n)))$ . In particular,  $P \subsetneq EXP$  and  $LogSpace \subsetneq PSPACE$ .
- By *padding*, equalities between complexity classes translate upward and inequalities downward. So if  $P = NP$  then  $EXP = NEXP$ .
- Examples of languages in  $P$ : connected graphs, relatively prime pairs of numbers (and, quite recently, prime numbers), palindromes, etc. In  $NP$ : all languages in  $P$ , Clique, Hamiltonian Path, SAT, etc. Technically, functions computing an output other than yes/no are not in  $NP$  since they are not languages. Maximizers such as LargestClique are not known to be in  $NP$ .
- Major Open Problem: is  $P = NP$ ? Widely believed that not, weird consequences if they were, including breaking all modern cryptography and automating creativity.
- *Polynomial-time reducibility*:  $A \leq_p B$  if there exists a *polynomial-time computable* function  $f$  such that  $\forall x \in \Sigma, x \in A \iff f(x) \in B$ .
- A language  $L$  is  $N$ -hard if every language in  $NP$  reduces to  $L$ . A language is  $NP$ -complete if it is both in  $NP$  and  $NP$ -hard.
- Steps of proving  $NP$ -completeness of a given language  $L$ :
  1. Show that  $L \in NP$  by giving respective  $R, c, d$  and explaining how  $y$  encodes a solution.
  2. Show that  $L$  is  $NP$ -hard via a reduction as follows:
    - (a) Find a suitable known  $NP$ -complete language  $L'$  such as  $3SAT, Partition, IndSet$ .
    - (b) Describe a polynomial-time reduction  $f$  from this  $NP$ -complete language to your  $L$ ,  $L' \leq_p L$ , for example  $3SAT \leq_p L$ .
    - (c) Show that  $x \in 3SAT \rightarrow f(x) \in L$  (or  $x \in L' \rightarrow f(x) \in L$  if  $L' \neq 3SAT$ )
    - (d) Show that  $f(x) \in L \rightarrow x \in 3SAT$  (or  $f(x) \in L \rightarrow x \in L'$ )
    - (e) Briefly explain why  $f$  is polynomial-time computable.